



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission
(excluding references)

6

Application Number

10/590,972

Filing Date

August 25, 2006

First Named Inventor

DEINZER

Group Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

42445.40037

ENCLOSURES (check all that apply)

☒ Deposit Account 07-1850
Authorization

☒ Postage Paid Return Postcard

☐ Amendment / Response

☐ Amendment Transmittal Letter (in
duplicate)

☐ Affidavits/declaration(s)

☐ Petition for Extension of Time (___
months) (in duplicate)

☒ Information Disclosure Statement
(in duplicate) with Form PTO-1449
(1 pg) citing 12 References

☐ Express Mail Label No.

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s) In/Formal ___ Sheets with
Submission of Drawings Transmittal

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) ____

☐ After Allowance Communication to
Group

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

2 References

2 Search Rep.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Squire, Sanders & Dempsey L.L.P.
Song Zhu, Ph.D., Reg. No. 44,420

Signature

Date

February 20, 2007

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below:

Typed or printed name

Vera Breder

Signature

Date

February 20, 2007

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

DEINZER et al.

Serial No. 10/590,972

Art Unit: Unassigned

Filed: August 25, 2006

Title: DEVICE FOR THE INSERTION OF DEFORMABLE INTRA-OCULAR
LENSES

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed in the International Search Reports of a related foreign application, mailed December 21, 2004 and January 17, 2005. Copies of the Search Reports and foreign patents are enclosed herein.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

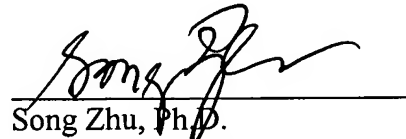
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: February 20, 2007

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Song Zhu', is written over a horizontal line.

Song Zhu, Ph.D.
Attorney for Applicants
Reg. No. 44,420

FORM PTO-1449 (Modified)		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 42445.40037	Application No. 10/590,972
INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)				Applicant DEINZER et al.	
				Filing Date August 25, 2006	Group Art Unit Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,681,102	7/21/1987	Bartell			
	A2	5,354,333	10/11/1994	Kammann et al.			
	A3	5,578,042	11/26/1996	Cumming			
	A4	5,947,975	9/7/1999	Kikuchi et al.			
	A5	6,447,520	9/10/2002	Brady et al.			

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A6	2003/040755	2/27/2003	Meyer			
	A7	2003/139749	7/24/2003	Kikuchi et al.			
	A8	2003/195522	10/16/2003	McNicholas et al.			

U.S. PATENT APPLICATION DOCUMENTS

Examiner Initial	Ref. No.	Serial Number	Date of Filing	Name	Class	Subclass	
	A9						
	A10						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	FR 2,820,633	8/16/2002	France				
	B2	WO 2004/010903	2/5/2004	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	International Search Report for PCT/CH2004/000242 mailed 1/17/2005
	C2	International Search Report for PCT/EP2004/050224 mailed 12/21/2004

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.